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"Express Mail" mailing label number EV 393133040 US Date of Deposit 2006	10/566342
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PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	•	Robert Jason Herr, et al.)
For	:	Compounds, Methods and Formulations for the Oral Delivery of a Glucagon-Like Peptide (GLP)-1 Compound or A Melanocortin-4 Receptor (MC4) Agonist Peptide))))
Docket No.	•	X-16292)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure, Applicants submit an "Information Disclosure Citation In An Application" on a Form PTO-1449 (modified) and provide a copy of each of the listed documents for consideration by the Examiner.

Since this Statement is being filed in accordance with 37 C.F.R. 1.97(b), Applicants submit that no additional fee is required.

International Application No: PCT/US2004/024386

Docket No: X-16292

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Applicants request consideration of this information.

Respectfully submitted,

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Attorney for Applicants

Registration No. 47,517

Phone: 317-433-3422

Eli Lilly and Company Patent Division, PKD P.O. Box 6288 Indianapolis, Indiana 46206-6288

18-January-2006

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FORM PTO 1449 (modified)				Atty. Docket No. X-16292		Serial No	566342
INFORMATION DISCLOSURE CITATION IN AN APPLICATION				First Applicant Robert Jason Heri	r, et al.		·
				Filing Date		Group	
U.S. PAT	CENT	DOCUMENTS					·
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}		Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Pages or Relevant Figures Appear
	AA	US 3,578,671	05-	11-1971	Wyeth & Bi	ros. Ltd.	
	AB	US 4,239,754	12-	16-1980	Sache, et al.		
	AC	US 4,925,673	05-	15-1990	Steiner, et a	1.	
	AD	US 5,965,121	10-	12-1999	Ho Koc-Ka	n et al.	
	AE	US 5,541,155	07-	30-1996	Leone-Bay,	et al.	
	AF	US 5,693,338	12-	02-1997	Milstein, S.		
	AG	US 5,976,569	11-	02-1999	Milstein, S.	. <u> </u>	
	AH	US 5,643,957	07-	01-1997	Leone-Bay,	et al.	
	AI	US 5,650,386	07-	22-1997	Leone-Bay,	et al.	
	AJ	US 5,820,881	10-	13-1998	Milstein, S.		
	AK	US 5,866,536	02-	02-1999	Leone-Bay,	et al.	
	AL	US 5,955,503	09-	21-1999	Leone-Bay,	et al.	
	AM	US 5,965,121	10-	12-1999	Leone-Bay,	et al.	
	AN	US 5,989,539	11-	23-1999	Leone-Bay,	et al.	

Examiner	Date Considered	
Signature		•

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached. Burden Hours Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case.

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Leone-Bay, et al.

FORM PTO 1449 (modified)			Atty. Docket No X-16292	O	Serial No	566342
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		ON	First Applicant Robert Jason He		3 00	
			Filing Date		Group	·
AC	US 6,001,347	12-	14-1999	Leone-Bay,	et al.	
AI	US 6,071,510	06-	06-2000	Leone-Bay,	et al.	
AC	US 6,100,298	08-	08-2000	Leone-Bay,	et al.	

06-05-2001

US 6,242,495

AR

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ - Kind Code5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	BA	WO 00/40203 .	07-13-2000			
	BB	WO 00/47188	08-17-2000			
,	BC	WO 00/50386	08-31-2000			
	BD	WO 00/59863	10-12-2000			
	BE	WO 01/32130	05-10-2001		·	
•	BF	WO 01/44199	06-21-2001			
	BG	WO 01/51454	07-19-2001			
	ВН	WO 02/02509 ,	01-10-2002			
-	BI	WO 03/072195	09-04-2003			
	ВЈ	WO 05/000339	01-06-2005			

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Sheet 3 of 3

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FORM PTO 1449 (modified)		Atty. Docket No. X-16292	Semial 0/ 566342	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		First Applicant Robert Jason Herr, et al.		
. ,			Filing Date	Group
		NON PATENT	LITERATURE DOCU	JMENTS
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published.		
	CA	DAI, Y. et al. "A Novel Series of Histone Deacetylase Inhibitors Incorporating Hetero Aromatic Ring Systems as Connection Units." Science Direct, Bioorganic and Medicinal Chemistry Letters 13, pp. 3817-3820, 2003.		
CB PATEL, H. et al. "Oral Administration of Insulin By Encapsulation Within Liposomes." FEBS LETTERS, Vol. 62, No. 1, pp. 60-63, 1976.				•
	CC	HASHIMOTO, A. et al. "Effects of Oral Administration of Positively Charged Insulin Liposomes on Alloxan Diabetic Rats: Preliminary Study." Endocrinology Japan, Vol. 26, No. 3, pp. 337-344, 1979.		

Examiner	Date Considered	
Signature		·

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Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.usplo.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached. Burden Hours Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.